



PATENT 15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

GREG A. WESTBROOK ET AL.

CASE NO.: FL-1064 US NA

APPLICATION NO.: 09/398,234

GROUP ART UNIT: 1751

FILED: SEPTEMBER 17, 1999

EXAMINER: UNASSIGNED

FOR: NON-FLAMMABLE, HIGH-SOLVENCY  
COMPOSITIONS COMPRISING TRANS-  
1,2-DICHLOROETHYLENE, SOLVENT,  
AND INERTING AGENT

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION-FILING DATE GRANTED**

Assistant Commissioner for Patents  
Washington, DC 20231

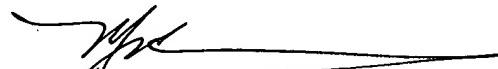
Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed December 6, 2001 (a copy of which is attached), enclosed are three Declarations for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 04-1928 (E. I. du Pont de Nemours and Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

A Petition for Extension of Time under 37 CFR 1.136(a) is concurrently filed herewith.

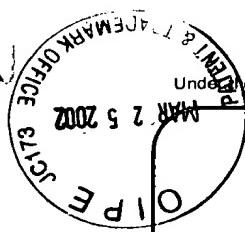
Respectfully submitted,



MARK A. EDWARDS  
ATTORNEY FOR APPLICANTS  
REGISTRATION NO. 39,542  
TELEPHONE: 302-992-5909  
FACSIMILE: 302-892-7925

Dated: March 15, 2002

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 530)

Complete if Known	
Application Number	09/398,234
Filing Date	September 17, 1999
First Named Inventor	Greg A. Westbrook et al.
Examiner Name	Unassigned
Group / Art Unit	1751
Attorney Docket No.	FL-1064 US NA

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)																																																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="04-1928"/></p> <p>Deposit Account Name <input type="text" value="E. I. du Pont de Nemours and Company"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>						<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Fee (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td><td>130</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td colspan="2">Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td colspan="2">For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td colspan="2">Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td colspan="2">Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td colspan="2">Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td colspan="2">Extension for reply within second month</td><td>400</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td colspan="2">Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td colspan="2">Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td colspan="2">Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td colspan="2">Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td colspan="2">Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td colspan="2">Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td colspan="2">Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td colspan="2">Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td colspan="2">Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td colspan="2">Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td colspan="2">Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td colspan="2">Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td colspan="2">Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td colspan="2">Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td colspan="2">Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td colspan="2">Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td colspan="2">Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td colspan="2">For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>375</td><td colspan="2">Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td colspan="2">Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="6">Other fee (specify)</td> <td colspan="2">*Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3) (\$ 530)</td> </tr> </tbody> </table>						Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description		Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		130	127	50	227	25	Surcharge - late provisional filing fee or cover sheet			139	130	139	130	Non-English specification			147	2,520	147	2,520	For filing a request for reexamination			112	920*	112	920*	Requesting publication of SIR prior to Examiner action			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			115	110	215	55	Extension for reply within first month			116	400	216	200	Extension for reply within second month		400	117	920	217	460	Extension for reply within third month			118	1,440	218	720	Extension for reply within fourth month			128	1,960	228	980	Extension for reply within fifth month			119	320	219	160	Notice of Appeal			120	320	220	160	Filing a brief in support of an appeal			121	280	221	140	Request for oral hearing			138	1,510	138	1,510	Petition to institute a public use proceeding			140	110	240	55	Petition to revive – unavoidable			141	1,280	241	640	Petition to revive – unintentional			142	1,280	242	640	Utility issue fee (or reissue)			143	460	243	230	Design issue fee			144	620	244	310	Plant issue fee			122	130	122	130	Petitions to the Commissioner			123	50	123	50	Processing fee under 37 CFR 1.17(q)			126	180	126	180	Submission of Information Disclosure Stmt			581	40	581	40	Recording each patent assignment per property (times number of properties)			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			179	740	279	375	Request for Continued Examination (RCE)			169	900	169	900	Request for expedited examination of a design application			Other fee (specify)						*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 530)	
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**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																																																

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Mark A. Edwards	Registration No. Attorney/Agent)	39,542	Telephone	(302) 992-5909		
Signature					Date	March 15, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Assistant Commissioner for Patents  
Washington, D.C. 20231

on March 15, 2002.  
Date

Barbara A. Ferzetti  
Signature

BARBARA A. FERZETTI

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

09/398,234

FL-1064

Response to Notice to File Missing Parts of Application-Filing Date Granted

Copy of Notice to File Missing Parts of Application

Petition for Extension of Time (in duplicate)

3 Declarations, one signed by each inventor

Post Card Receipt



# UNITED STATES PATENT AND TRADEMARK OFFICE

#5  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/398,234	09/17/1999	Greg A. Westbrook	FL-1064

**CONFIRMATION NO. 9803**

**FORMALITIES LETTER**



\*OC00000007161806\*

Mark A. Edwards  
E. I. du Pont de Nemours and Company  
LEGAL- PATENTS  
1007 Market Street  
Wilmington, DE 19898

Date Mailed: 12/06/2001

## **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

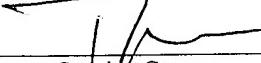
**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE